



8/16/2

**PATENT APPLICATION**

**THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of

Docket No: Q68355

Noriaki IKENAGA, et al.

Appln. No. 10/062,405

Group Art Unit: 1734

Confirmation No.: 4115

Examiner: Not Yet Assigned

Filed: February 05, 2002

For: METHOD AND APPARATUS FOR MODIFYING SURFACE OF CONTAINER MADE  
OF POLYMERIC COMPOUND

**STATEMENT UNDER 37 C.F.R. § 1.97(e)**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

The undersigned hereby states, upon information and belief:

That each item of information contained in the Information Disclosure Statement filed concurrently herewith was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of said Information Disclosure Statement.

Respectfully submitted,

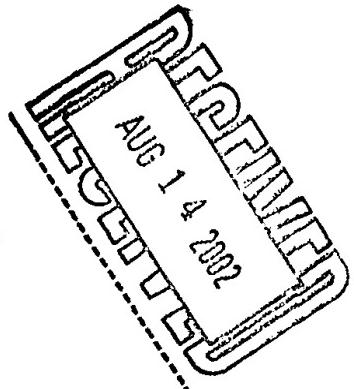
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Registration No. 23,063

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Date: August 9, 2002

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**TC 1700**





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**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §§ 1.97 and 1.98**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicants hereby  
notify the U.S. Patent and Trademark Office of the documents which are listed on the attached  
PTO/SB/08 A & B (modified) (substitute for PTO Form 1449) form and/or listed herein and  
which the Examiner may deem material to patentability of the claims of the above-identified  
application.

One copy of each of the listed documents is submitted herewith, along with a copy of the  
corresponding Communication from a Foreign Patent Office.

The present Information Disclosure Statement is being filed: (1) No later than three  
months from the application's filing date for an application other than a continued prosecution  
application (CPA) under §1.53(d); (2) Before the mailing date of the first Office Action on the  
merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a

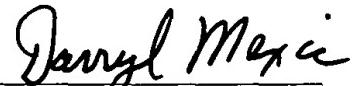
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INFORMATION DISCLOSURE STATEMENT  
U.S. Appln. No. 10/062,405

request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required. Nevertheless, since a Statement can be made, a Statement is submitted herewith.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Respectfully submitted,



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Substitute for Form 1449 A & B/PTO		<i>Complete if Known</i>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <small>(use as many sheets as necessary)</small>		Application Number	10/062,405
		Confirmation Number	4115
		Filing Date	February 05, 2002
		First Named Inventor	Noriaki IKENAGA
		Art Unit	1734
		Examiner Name	Not Yet Assigned
1	Sheet	1	of 1
		Attorney Docket Number	

## **U.S. PATENT DOCUMENTS**

## FOREIGN PATENT DOCUMENTS

#### **OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS**

**Examiner Signature** \_\_\_\_\_ **Date Considered** \_\_\_\_\_

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov), MPEP 901.04 or in the comment box of this document. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to indicate here if English language Translation is attached.